SimpleImage: sectorImage: secto		INTERNAL AUDIT PLAN 2018/19		1				REPORTIN	IG			
And         Dependent         And         No.	2018/19			lss								
And         Dependent         And         No.												
AbbPartners $\hat{q}$ PartnerP								3*-5	2*-3	1*-1	Score	
DescriptionDescripti			atus	Issues	Issues	Issues	Issues	5 =5	2 = 5		ocore	Level
IntraDrag Scattering Scatteri	Job No		Št									
Integra         Rel Photone         <		Chief Executive										
11000         12000         11000         1000        1000        1000 <th< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th<>												
HINDS         Constant         Constant <thconstant< th="">         Constant         <th< td=""><td></td><td></td><td></td><td>0</td><td>1</td><td>0</td><td></td><td></td><td></td><td></td><td></td><td>Acceptable</td></th<></thconstant<>				0	1	0						Acceptable
119805         Intra Section 2         S				0	0	0						Grant Certificate
Image for the property of the sector of t				0	0	0						Orent Oretificate
Interact & Communications Andi         Image: Antiper	1118006	Rent Smart Wales	Complete	0	0	0	0	0	0	0	0	Grant Certificate
STREEM         STREEM<		Total Audit Days for Department										
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111000         Research 14         Correspon         Correspon <thcorespon< th=""> <thcorespon< th=""> <thcore< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></thcore<></thcorespon<></thcorespon<>												
Nime         Associa         Control         Control <thcontrol< th=""> <thcontrol< th=""> <thcont< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></thcont<></thcontrol<></thcontrol<>												
NUMBOR         Beamser genetic, Digits         Owncom         O         1         1         2         0         3         1         4         Angeles           VIDEOUS         Controls of Director Soften         OBI Note Name         0 </td <td>2118003</td> <td>Agresso</td> <td>Complete</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>N/A</td>	2118003	Agresso	Complete	0	0	0	0	0	0	0	0	N/A
110000         Godalin 4 Childre Series Series         Divid Free Name         Divid Free Name <thdivi< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>0</td><td></td><td></td></thdivi<>										0		
Partners         Decommer         Setting         Complex         O        O         O         O </td <td></td> <td></td> <td></td> <td>0</td> <td>- 1</td> <td></td> <td></td> <td></td> <td></td> <td>0</td> <td></td> <td>Acceptable</td>				0	- 1					0		Acceptable
11000         Theorement         Oxades         0												
211000         Contractor         Contractor<												
11012         Deal Transformed / All Working / Information         Complex         0	2118010	Cloud Computing					0	0	0	0	0	, , , , , , , , , , , , , , , , , , ,
Thread Management Oner         Ompoint         O        O         O        O </td <td></td> <td></td> <td></td> <td>0</td> <td>0</td> <td>0</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>N/A</td>				0	0	0						N/A
Regeneration & Polog         Image: Construct Services         Construct Services <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>												
Regeneration & Polog         Image: Construct Services         Construct Services <t< td=""><td></td><td>Total Dave Computer Audite</td><td></td><td> </td><td></td><td> </td><td></td><td></td><td></td><td> </td><td> </td><td></td></t<>		Total Dave Computer Audite										
Status         Correte         0         1         1         2         0         1         1         0         1         1         1         0         1         1         0         1         1         0         1         0         1         0         1         0         1         0         1         0         1         0         1         0         1         0         1         0         1         0         1         0         1         0 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>												
111000         Pres. Order Communiscen         Pres. Auxil Meeting         Image		Regeneration & Policy										
111000         Pres. Order Communiscen         Pres. Auxil Meeting         Image	3118001	Customer Services	Complete	0	1	1	2	0	3	1	4	Acceptable
111000.         Progray & Edua Management         Daff Reportsmant         Daff Reportsmant <thdaff reportsmant<="" th="">         Daff Reportsmant<!--</td--><td>3118002</td><td>Press Office / Communication</td><td>Pre Audit Meeting</td><td></td><td></td><td></td><td></td><td>0</td><td>0</td><td>0</td><td>0</td><td></td></thdaff>	3118002	Press Office / Communication	Pre Audit Meeting					0	0	0	0	
311800         New Landsn Programmen (og E S)         Complete         0        0         0         0				0	1	0	1					Acceptable
311000         Conversion         Correlate         0	3118005		Complete				0	0	0	0	0	
111000         Corporate Name         Complete         0        0         0         0 <td></td>												
311000         Resperation Strand 1         Complete         0         2         1         3         0         6         1         7         Accordable Accordable 311001           311001         Complete         0         1         0         1         0         1         0         3         0         3         Accordable 311001           311001         Complete         0         1         1         4         0         0         1         1         4         Accordable 311001           311001         Complete         0         <												
3118011         Regeneration Strand 3         Complete         0         1         1         2         0         3         1         4         Accogetable Account A		Regeneration Strand 1										
3118131         Complete         0         1         1         2         0         3         1         4         Acceptable           3181351         Complete         0         3         1         4         0         3         1         4         Acceptable           3181351         Complete         Daff Rponishaud         -         -         0												
318101         Date Protection         Commenced         Complex         D         0         0         0         0         0           07mms         Orth Report Name         O         O         0	3118012		Complete	0	1		2	0	3		4	Acceptable
3119015         Financial Langement Other         Doals Report Stand         Image of the stand         Image of th				0	3	1						Acceptable
attend         Ear Truit Parky Grants         Complete         O												
Total Audit Days for Department         Image: Constraint of the second sec	0110010		0									N1/A
Housing Achieves         Housing Achieves         Housing Achieves         Housing Achieves           School Ingrovement Education & Chieves         Complete         0	3118016	R&P Third Party Grants	Complete	0	0	0	0	0	0	0	0	N/A
School Improvement         Complete         O        O <td></td> <td>Total Audit Days for Department</td> <td></td>		Total Audit Days for Department										
School Improvement         Complete         O        O <td></td> <td>Education &amp; Children</td> <td></td>		Education & Children										
4118001         ERW.in carangements for EIG & PDG         Complete         0        0         0         0 </td <td></td>												
Education Services         Commenced         Image Advisors         Commenced         Image Advisors         Image Advisors           4118002         School Improvement         Commenced         Image Advisors         Image Advisors         Image Advisors           4118002         School Improvement         Complete         Image Advisors         Image Advisors         Image Advisors           4118005         Biotrass Ne Weight Being         Complete         Image Advisors         Image Advisors         Image Advisors           4118005         Biotrass Ne Weight Being         Complete         Image Advisors         Image Advisors         Image Advisors           4118005         Biotrass Ne Weight Being         Complete         Image Advisors         Image Advisors         Image Advisors           4118005         School Consultants         Commenced         Image Advisors         Image Advisors         Image Advisors         Image Advisors           4118005         School School Consultants         Commenced         Image Advisors         Image Advis	4118001		Complete	0	0	0	0	0	0	0	0	Cront Cartificate
4118003         School Improvement         Commenced         Image Development         0	4118001		Complete	0	0	0	0	0	0	0	0	Giant Certificate
Strategic Development         Complete         0							0	0	0	0	0	
4118004         Information & Improvement         Complete         0	4118003	School Improvement Strategic Development	Commenced				0	0	0	0	0	
Curriculur, & Weit Deing         Complete         0 <t< td=""><td></td><td>Information &amp; Improvement</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>		Information & Improvement										
4118006       Education Other Than At School (EOTAS)       Complete       0	4118005		Complete	1	6	1	8	5	18	1	24	Low
4118008       School Consultants       Commenced       0       0       0       0       0       0         4118009       Learning Transformation - eq Cynnydd, Cam Nesa       Commenced       0<		Education Other Than At School (EOTAS)										
4118009         Learning Transformation - eg Cynnydd, Cam Nesa         Commenced         Image: Complete         0				0	0	0						Grant Certificate
Children's Services         Complete         O         2         1         3         0         6         1         7         Acceptable           4118010         Fanily Support         Complete         0		Learning Transformation - eg Cynnydd, Cam Nesa										
4118011         Family Support         Complete         0<	4440010			0	0		0	0		-	-	Ascentili
4118012       Safeguarding       Oral Report Issued       O						0				1		
Schools:         Complete         O         I	4118012	Safeguarding	Draft Report Issued				0	0	0	0	0	
4118014       Schools DBS       Complete       0       3       1       4       0       9       1       10       Acceptable         4118016       Schools Recruitment & Teachers salaries       Complete       0       1       4       5       0       3       4       7       Acceptable         4118016       Schools Meals, Free Meals & Primary Free Breakfast Services       Complete       0       2       4       6       0       6       4       10       Acceptable         4118017       Schools Questionnaires Q1       Complete       0	4118013		Complete	0	0	0	U	0	0	U	0	Grant Certificate
4118016       Schools - Monitoring deficits & surpluses       Commenced       v       0       0       0       0       0       0         4118017       Schools Meals, Free Meals & Primary Free Breakfast Services       Complete       0		Schools DBS										
4118017       School Meals, Free Meals & Primary Free Breakfast Services       Complete       0       2       4       6       0       6       4       10       Acceptable         4118018       Schools Questionnaires Q2       Complete       0				0	1	4						Acceptable
4118018       Schools Questionnaires Q1       Complete       0	4118017	School Meals, Free Meals & Primary Free Breakfast Services			2		6		6	4	10	
4118034       Schools Questionnaires Q3       Complete       0	4118018	Schools Questionnaires Q1	Complete	0	0	0	0	0	0		0	N/A
4118035       School SQuestionnaires Q4       Complete       0												
4118036       School visits Q2       Complete       0       2       4       6       0       6       4       10       Acceptable         4118037       School visits Q3       Complete       0       7       4       11       0       21       4       25       Low         4118038       School visits Q4       Draft Report Issued       0       7       4       11       0       21       4       25       Low         Grants       0<	4118035	Schools Questionnaires Q4	Complete	0	0	0	0	0	0	0	0	N/A
4118037       School visits Q3       Complete       0       7       4       11       0       21       4       25       Low         4118038       School visits Q4       Draft Report Issued       0 </td <td></td>												
Grants         Complete         O         <	4118037	School visits Q3	Complete				11	0	21	4	25	
4118020       Post 16       Complete       0	4118038		Draft Report Issued			<u> </u>	0	0	0	0		
4118021         Education - EIG - G1 Audit         Complete         0	4118020		Complete	0	0	0	0	0	0	0	0	Grant Certificate
4118023       Education - EIG - Q2 Audit       Complete       0 <td>4118021</td> <td>Education - EIG - Final Annual Audit</td> <td>Complete</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>Grant Certificate</td>	4118021	Education - EIG - Final Annual Audit	Complete	0	0	0	0	0	0	0	0	Grant Certificate
4118024       Education - EIG - Q3 Audit       Complete       0 <td></td>												
4118026         Education - PDG - Final Annual Audit         Complete         0 <th< td=""><td>4118024</td><td>Education - EIG - Q3 Audit</td><td>Complete</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>Grant Certificate</td></th<>	4118024	Education - EIG - Q3 Audit	Complete	0	0	0	0	0	0	0	0	Grant Certificate
4118027         Education - PDG - Q1         Complete         0		Education - EIG - Q4 Audit										Grant Certificate
4118028         Education - PDG - Q2         Complete         0		Education - PDG - Final Annual Audit Education - PDG - Q1										Grant Certificate Grant Certificate
4118030         Education - PDG - Q4         Complete         0	4118028	Education - PDG - Q2	Complete	0	0	0	0	0	0	0	0	Grant Certificate
4118031         14 to 19 Learning Pathways         Complete         0												Grant Certificate Grant Certificate
	4118031	14 to 19 Learning Pathways	Complete	0	0	0	0	0	0	0	0	Grant Certificate
Image: Constraint of the second sec	4118032	Youth Work Strategy Grant	Complete	0	0	0	0	0	0	0	0	Grant Certificate
Total Audit Days for Department												
		Total Audit Days for Department										

<b></b>	INTERNAL AUDIT PLAN 2018/19		1				REPORTIN	NG			
2018/19		Issues									
Job No	Departments	Status	No. of 3 * Issues	No. of 2 * Issues	No. of 1 * Issues	Total No. Issues	3*=5	2*=3	1*=1	Score	Assurance Level
	Corporate Services										
5118001	Budget Setting / Delivery of Efficiencies	Draft Report Issued	ł			0	0	0	0	0	
5118002	VAT	Complete Complete	0	2	0	2	0	6 3	0	6	Acceptable
5118003 5118004	Treasury Management - Loans Housing Benefits	Draft Report Issued			0	0	0	0	0	3 0	Acceptable
5118005 5118006	Payroll System Creditor Payments	Complete Fiel Work Complete	0	3	1	4	0	9 0	1	10 0	Acceptable
5118007	Debtors System	Draft Report Issued			4	0	0	0	0	0	1
5118008 5118009	Cash & Bank Travel & Subsistence System	Complete Complete	1 0	0 4	1	2 5	5 0	0 12	1	6 13	Low Low
5118010 5118011	Deputyships Trust Funds	Complete Complete	0	2	2	4 8	0	6 18	2	8 20	Acceptable Acceptable
5118012	Burry Port Harbour - Accounts Return for WAO	Complete	0	7	0	7	0	21	0	21	Grant Certificate
5118013	Financial Management Other	Complete	0	0	0	0	0	0	0	0	Grant Certificate
	Total Audit Days for Department										
	Communities		<u> </u>								
6118001	Home Care	Complete Complete	0	0	0	0	0	0	0	0	N/A
6118002 6118023	Residential Care Authority & Private Homes Q1 Residential Care Authority & Private Homes Q2	Complete	0	0	0	0	0	0	0	0	N/A N/A
6118024 6118025	Residential Care Authority & Private Homes Q3 Residential Care Authority & Private Homes Q4	Complete Complete	0	0	0	0	0	0	0	0	N/A N/A
6118003	Supported Living	Complete	0	0	0	0	0	0	0	0	N/A
6118004 6118005	Learning Disabilities Safeguarding	Complete Complete	0	0	0	0	0	0	0	0	Grant Certificate High
6118006 6118007	Affordable Homes Licensing and other fees	Complete Complete	0	0	1	1	0	0	1	1	High High
6118008	Museums	Complete	0	3	0	3	0	9	0	9	Acceptable
6118009 6118010	Libraries Amman Valley Leisure Centre	Complete Complete	0	2	1	3 4	0	6 9	1	7 10	Acceptable Acceptable
6118011	Carmarthen Leisure Centre	Complete	0	2	4	6	0	6	4	10	Acceptable
6118012 6118013	Llanelli Leisure Centre Pembrey Country Park / MCP / Country Parks	Complete Complete	0	2	3 6	5	0	6 0	3	9 6	Acceptable Acceptable
6118014	Ski Centre	Complete	0	5	3	8	0	15	3	18	Low
6118015 6118016	Theatres South Area Leisure / Education & Workforce	Complete Complete	0	3	1 2	4	0	9 6	1 2	10 8	Acceptable Acceptable
6118017 6118018	Pendine Outdoor Education Centre	Draft Report Issued				0	0	0	0	0	
6118019	Llesiant Delta Wellbeing Ltd - LATC Housing Company	Field Work Complete Draft Report Issued				0	0	0	0	0	
6118020 6118026	SP Financials LC Memberships	Complete Complete	0	0	0	0	0	0	0	0	Grant Certificate High
	Grants										
6118021 6118022	Supporting People Communities	Complete Complete	0	0	0	0	0	0	0	0	Grant Certificate Grant Certificate
	Total Audit Days for Department										
	Environment										
	Property:										
7118001	Property Maintenance	Draft Report Issued				0	0	0	0	0	
7118002 7118003	Property & Estate Management (sale of assets) Energy Strategy	Draft Report Issued Complete	0	0	0	0	0	0	0	0	High
7118004	Waste and Environment: Grounds Maintenance	Complete	0	2	0	2	0	6	0	6	Acceptable
7118004	Cleansing Services	Complete	0	3	0	3	0	9	0	9	Acceptable
7118006	Highways and Transport: Highway Maintenance (incl. Trunk Roads)	Draft Report Issued				0	0	0	0	0	
7118007	Other Streetwork	Complete	0	2	0	2	0	6	0	6	Acceptable
7118008 7118009	Street lighting Parking Inc. Enforcement	Complete Complete	0	0	1	1	0	0	1	1	High High
7118010	Planning Services: Local Development Plan	Field Work Complete	[			0	0	0	0	0	
	Policy & Development Departmental:										
7118011 7118012	Management Systems - Total Financial Management Other	Complete Complete	0	0	0	0	0	0	0	0	N/A N/A
	Grants		0	0	0	0	0	0	0		N/A
7118013	Environment Departmental Grants	Complete	0	0	0	U	0	0	U	0	IN/A
	Total Audit Days for Department		<u> </u>								
	Progurament / Contracto		<b> </b>								
	Procurement / Contracts										
8118001 8118002	Framework contracts Specific Projects (new and post contact review)	Complete Complete	0	0	0	0	0	0	0	03	High Acceptable
8118003	Departmental Contract Management	Field Work Complete	, , , , , , , , , , , , , , , , , , ,		Ŭ	0	0	0	0	0	,
8118004 8118005	Community Benefits Category Management	Draft Report Issued Complete	0	0	0	0	0	0	0	0	High
8118006	Financial Management Other	Complete	0	0	0	0	0	0	0	0	N/A
	Total Audit Days for Department										
			<u> </u>								
	Additional Work Not Included in Original Plan										
5118014 9018032	Wales Pension Partnership Financial Grant Advice	Complete Complete	0	0	0	0	0	0	0	0	Grant Certificate N/A
3010032		Complete	0	U	U	U	U	U	U	U	IN/A
	Total Additional Work		<u> </u>								
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	REPORTING										
2018/19	INTERNAL AUDIT PLAN 2018/19		Issues								
Job No	Departments	Status	No. of 3 * Issues	No. of 2 * Issues	No. of 1 * Issues	Total No. Issues	3*=5	2*=3	1*=1	Score	Assurance Level
Assurance Level	Description for Assurance Level	Scores									
High	Good controls consistently applied. Low risk of not meeting objectives. Low risk of fraud, negligence, loss, damage to reputation.	0 to 2									
Acceptable	Moderate Controls, some areas of non-compliance with aareed controls. Medium / low risk of not meeting objectives. Medium / low risk of fraud, negligence, loss, damage to reputation.	3 to 10 with no 3* recommendations									
Low	Inadequate controls. High Risk of not meeting objectives. High risk of fraud, negligence, loss, damage to reputation.	> 10 or including one or more 3* recommendations									